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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

1

of

2

**Complete if Known**

Application Number

10/738,423

Filing Date

December 16, 2003

First Named Inventor

Ivan C. KING

Art Unit

1633

Examiner Name

Qian Janice Li

Attorney Docket Number

873-Z-US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/qjl/	1	International Search Report from the ISA/US for VION PHARMACEUTICALS, INC. et al., International Application No. PCT/US00/23242 (Atty. Dkt. #873-PCT-MX, Abandoned March 31, 2006).	
/qjl/	2	PCT International Search Report issued for VION PHARMACEUTICALS, INC., International Application No. PCT/US98/18701 (Atty. Dkt. #871-AB-PCT-KR, Now Abandoned), Filed September 9, 1998, Dated December 21, 1998.	
	3	Mexican Office Action for YALE UNIVERSITY, Mexican Application No. 979439, Filed December 3, 1997, Dated June 6, 2007.	
	4	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/724,390 (Atty. Dkt. #871-BZ-US, Now Abandoned), Filed November 28, 2000, Dated October 23, 2002.	
	5	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/724,390 (Atty. Dkt. #871-BZ-US, Now Abandoned), Filed November 28, 2000, Dated February 12, 2002.	
	6	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/486,422 (Atty. Dkt. #869-A-US, Now Abandoned), Filed June 7, 1995, Dated August 5, 1997.	
	7	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/486,422 (Atty. Dkt. #869-A-US, Now Abandoned), Filed June 7, 1995, Dated April 15, 1997.	
	8	U.S. Office Action for PAWELEK et al., U.S. Serial No. 10/723,570 (Atty. Dkt. #869-BAZ-US, Now Abandoned), Filed November 24, 2003, Dated April 11, 2006.	
	9	U.S. Office Action for PAWELEK et al., U.S. Serial No. 10/723,570 (Atty. Dkt. #869-BAZ-US, Now Abandoned), Filed November 24, 2003, Dated August 9, 2005.	
	10	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 11/082,544 (Atty. Dkt. #873-A-US), Filed March 17, 2005, Dated October 21, 2005.	

Examiner  
Signature

/Q. Janice Li/ (12/06/2007)

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	13	U.S. Office Action for BERMUDES et al., U.S. Serial No. 11/082,544 (Atty. Dkt. #873-A-US), Filed March 17, 2005, Dated December 11, 2006.	
	14	U.S. Office Action for BERMUDES et al., U.S. Serial No. 10/187,278 (Atty. Dkt. #871-BA-US), Filed June 27, 2002, Dated March 23, 2004.	
	15	U.S. Office Action for BERMUDES et al., U.S. Serial No. 10/187,278 (Atty. Dkt. #871-BA-US), Filed June 27, 2002, Dated August 25, 2004.	
	16	Notice of Allowance and Fee(s) Due for BERMUDES et al., U.S. Serial No. 10/187,278 (Atty. Dkt. #871-BA-US), Filed June 27, 2002, Dated October 20, 2004.	
	17	U.S. Office Action for BERMUDES et al., U.S. Serial No. 10/125,328 (Atty. Dkt. #871-AZA-US), Filed April 18, 2002, Dated March 24, 2004.	
	18	U.S. Office Action for BERMUDES et al., U.S. Serial No. 10/125,328 (Atty. Dkt. #871-AZA-US), Filed April 18, 2002, Dated August 25, 2004.	
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Attorney Docket Number	873-Z-US
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
/qjl/	2	JP 2004-500042	04-04-2002	VION PHARMACEUTICALS, INC. et al.		

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Art Unit 1633

Examiner Name Qian Janice LI

Attorney Docket Number 873-Z-US

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

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	3	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated May 28, 2002.	
	4	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated November 19, 2002.	
	5	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated May 15, 2003.	
	6	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated February 23, 2004.	
	7	U.S. Office Action for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated September 9, 2004.	
	8	U.S. Advisory Action for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated December 3, 2004.	
	9	U.S. Notice of Allowance for BERMUDEZ et al., U.S. Serial No. 09/645,415, now Patent No. 6,962,696 (Atty. Dkt. #873-US), Filed August 24, 2000, Dated January 11, 2005.	
	10	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/486,422 (Atty. Dkt. #869-A-US), Filed June 7, 1995, Dated August 5, 1997.	
	11	U.S. Office Action for PAWELEK et al., U.S. Serial No. 09/358,052 (Atty. Dkt. #869-BA-US), Filed July 21, 1999, Dated April 10, 2000.	
	12	U.S. Office Action for PAWELEK et al., U.S. Serial No. 09/358,052 (Atty. Dkt. #869-BA-US), Filed July 21, 1999, Dated November 17, 2000.	

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	15	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/658,034 (Atty. Dkt. #869-B-US), Filed June 4, 1996, Dated February 5, 1997.	
	16	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/658,034 (Atty. Dkt. #869-B-US), Filed June 4, 1996, Dated October 24, 1997.	
	17	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/658,034 (Atty. Dkt. #869-B-US), Filed June 4, 1996, Dated July 7, 1998.	
	18	U.S. Office Action for PAWELEK et al., U.S. Serial No. 08/658,034 (Atty. Dkt. #869-B-US), Filed June 4, 1996, Dated January 21, 1999.	
	19	U.S. Notice of Allowance for PAWELEK et al., U.S. Serial No. 08/658,034 (Atty. Dkt. #869-B-US), Filed June 4, 1996, Dated August 4, 1999.	
	20	U.S. Notice of Allowance for BERMUDES et al., U.S. Serial No. 09/337,689 (Atty. Dkt. #871-AZ-US), Filed June 22, 1999, Dated December 15, 2000.	
	21	U.S. Office Action for BERMUDES et al., U.S. Serial No. 09/337,689 (Atty. Dkt. #871-AZ-US), Filed June 22, 1999, Dated February 27, 2001.	
	22	U.S. Office Action for BERMUDES et al., U.S. Serial No. 09/337,689 (Atty. Dkt. #871-AZ-US), Filed June 22, 1999, Dated October 9, 2001.	

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	24	U.S. Office Action for BERMUDES et al., U.S. Serial No. 09/149,832 (Atty. Dkt. #871-B-US), Filed September 8, 1998, Dated March 27, 2000.	
	25	U.S. Supplemental Office Action for BERMUDES et al., U.S. Serial No. 09/149,832 (Atty. Dkt. #871-B-US), Filed September 8, 1998, Dated April 6, 2000.	
	26	U.S. Notice of Allowance for BERMUDES et al., U.S. Serial No. 09/149,832 (Atty. Dkt. #871-B-US), Filed September 8, 1998, Dated September 11, 2000.	
	27	U.S. Office Action for BERMUDES et al., U.S. Serial No. 09/149,832 (Atty. Dkt. #871-B-US), Filed September 8, 1998, Dated May 1, 2001.	
	28	U.S. Office Action for BERMUDES et al., U.S. Serial No. 09/149,832 (Atty. Dkt. #871-B-US), Filed September 8, 1998, Dated November 20, 2001.	
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	32	U.S. Office Action for BERMUDES et al., U.S. Serial No. 08/926,636, Now Patent No. 6080849 (Atty. Dkt. #871-A-US), Filed September 10, 1997, Dated April 29, 1998.	

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	34	U.S. Supplemental Notice of Allowance for BERMUDEZ et al., U.S. Serial No. 08/926,636, Now Patent No. 6080849 (Atty. Dkt. #871-A-US), Filed September 10, 1997, Dated March 15, 1999.	
	35	Japanese Office Action for YALE UNIVERSITY, Japanese Application No. 09-502263 (Atty. Dkt. #869-C-PCT-JP), Filed December 8, 1997, Dated February 5, 2002.	
	36	Japanese Office Action for YALE UNIVERSITY, Japanese Application No. 09-502263 (Atty. Dkt. #869-C-PCT-JP), Filed December 8, 1997, Dated April 30, 2003.	
	37	Australian Examiner's Report for VION PHARMACEUTICALS, INC., Australian Application No. 69334/00 (Atty. Dkt. #873-PCT-AUS), Filed April 9, 2002, Dated November 1, 2004.	
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	40	Australian Examiner's Report for YALE UNIVERSITY, Australian Application No. 63851/96 (Atty. Dkt. #869-C-PCT-AUS), Filed December 5, 1997, Dated November 3, 1999.	
	41	Australian Examiner's Report for YALE UNIVERSITY, Australian Application No. 63851/96 (Atty. Dkt. #869-C-PCT-AUS), Filed December 5, 1997, Dated October 26, 1998.	
	42	Australian Notice of Acceptance for YALE UNIVERSITY, Australian Application No. 63851/96 (Atty. Dkt. #869-C-PCT-AUS), Filed December 5, 1997, Dated March 23, 2000.	

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 6

of 9

**Complete If Known**

Application Number 10/738,423

Filing Date December 16, 2003

First Named Inventor Ivan C. KING

Art Unit 1633

Examiner Name Qian Janice LI

Attorney Docket Number 873-Z-US

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	43	Australian Examiner's Report for VION PHARMACEUTICALS, INC., Australian Application No. 93807/98 (Atty. Dkt. #871-AB-PCT-AUS), Filed March 8, 2000, Dated May 1, 2001.	
	44	Australian Examiner's Report for VION PHARMACEUTICALS, INC., Australian Application No. 93807/98 (Atty. Dkt. #871-AB-PCT-AUS), Filed March 8, 2000, Dated April 19, 2002.	
	45	Australian Notice of Acceptance for VION PHARMACEUTICALS, INC., Australian Application No. 93807/98 (Atty. Dkt. #871-AB-PCT-AUS), Filed March 8, 2000, Dated May 24, 2002.	
	46	Brazilian Written Opinion for YALE UNIVERSITY, Brazilian Application No. PI 9609016-2 (Atty. Dkt. #869-C-PCT-BR), Filed December 8, 1997, Dated June 27, 2006.	
	47	Brazilian Written Opinion for YALE UNIVERSITY, Brazilian Application No. PI 9609016-2 (Atty. Dkt. #869-C-PCT-BR), Filed December 8, 1997, Dated September 11, 2007.	
	48	Chinese Office Action for YALE UNIVERSITY, Chinese Application No. 96196140.6 (Atty. Dkt. #869-C-PCT-CN), Filed June 5, 1996, Dated July 28, 2002.	
	49	Chinese Office Action for YALE UNIVERSITY, Chinese Application No. 96196140.6 (Atty. Dkt. #869-C-PCT-CN), Filed June 5, 1996, Dated April 11, 2003.	
	50	Chinese Office Action for VION PHARMACEUTICALS, INC., Chinese Application No. 98811030.X, now Patent No. ZL98811030.X (Atty. Dkt. #871-AB-PCT-CN), Filed September 9, 1998, Dated February 20, 2004.	
	51	European Communication for VION PHARMACEUTICALS, INC., European Application No. 00957765.4 (Atty. Dkt. #873-PCT-EPO), Filed May 3, 2002, Dated August 22, 2007.	
	52	European Communication for YALE UNIVERSITY, European Application No. 96923299.0 (Atty. Dkt. #869-C-PCT-EPO), Filed June 6, 1996, Dated November 19, 2003.	

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	53	European Communication for YALE UNIVERSITY, European Application No.96923299.0 (Atty. Dkt. #869-C-PCT-EPO), Filed June 6, 1996, Dated July 23, 2004.	
	54	European Communication for VION PHARMACEUTICALS, INC., European Application No. 98946891.3 (Atty. Dkt. #871-AB-PCT-EPO), Filed September 9, 1998, Dated December 6, 2004.	
	55	European Search Report for VION PHARMACEUTICALS, INC., European Application No. 98946891.3 (Atty. Dkt. #871-AB-PCT-EPO), Filed September 9, 1998, Dated September 1, 2004.	
	56	European Communication for VION PHARMACEUTICALS, INC., European Application No. 98946891.3 (Atty. Dkt. #871-AB-PCT-EPO) Filed September 9, 1998, Dated April 10, 2007.	
	57	European Communication for VION PHARMACEUTICALS, INC., European Application No. 98946891.3 (Atty. Dkt. #871-AB-PCT-EPO), Filed September 9, 1998, Dated May 13, 2005.	
	58	Israeli Office Action for VION PHARMACEUTICALS, INC., Israeli Application No. 148933 (Atty. Dkt. #873-PCT-IL), Filed March 27, 2002, Dated January 16, 2007.	
	59	Israeli Office Action for VION PHARMACEUTICALS, INC., Israeli Application No. 148933 (Atty. Dkt. #873-PCT-IL), Filed March 27, 2002, Dated June 27, 2007.	
	60	Israeli Office Action for VION PHARMACEUTICALS, INC., Israeli Application No. 134936 (871-AB-PCT-IL), Filed July 3, 2000, Dated January 8, 2006.	
	61	Korean Notice of Decision to Grant for YALE UNIVERSITY, Korean Application No. 10-1997-0709128 (Atty. Dkt. #869-C-PCT-KR), Filed December 8, 1997, Dated February 13, 2004.	
	62	Korean Office Action for VION PHARMACEUTICALS, INC., Korean Application No. 2000-7002535 (Atty. Dkt. #871-AB-PCT-KR, Abandoned), Filed March 10, 2000, Dated February 3, 2005.	

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	63	Mexican Office Action for VION PHARMACEUTICALS, INC., Mexican Application No. PA/2002/003384 (Atty. Dkt. #873-PCT-MX), Filed April 3, 2002, Dated February 3, 2006.	
	64	Mexican Office Action for VION PHARMACEUTICALS, INC., Mexican Application No. 2000/002355 (Atty. Dkt. #871-AB-PCT-MX), Filed March 8, 2000, Dated September 8, 2003.	
	65	New Zealand Office Action for VION PHARMACEUTICALS, INC., New Zealand Application No. 518354 (Atty. Dkt. #873-PCT-NZ), Filed April 12, 2002, Dated August 14, 2003.	
	66	New Zealand Office Action for VION PHARMACEUTICALS, INC., New Zealand Application No. 518354 (Atty. Dkt. #873-PCT-NZ), Filed April 12, 2002, Dated November 26, 2004.	
	67	New Zealand Notice of Acceptance for VION PHARMACEUTICALS, INC., New Zealand Application No. 518354 (Atty. Dkt. #873-PCT-NZ), Filed April 12, 2002, Dated February 11, 2005.	
	68	New Zealand Examiner's Report for YALE UNIVERSITY, New Zealand Application No. 312341 (Atty. Dkt. #869-C-PCT-NZ), Filed December 10, 1997, Dated July 24, 1998.	
	69	New Zealand Examiner's Report for YALE UNIVERSITY, New Zealand Application No. 312341 (Atty. Dkt. #869-C-PCT-NZ), Filed December 10, 1997, Dated March 3, 1999.	
	70	New Zealand Notice of Acceptance for YALE UNIVERSITY, New Zealand Application No. 312341 (Atty. Dkt. #869-C-PCT-NZ), Filed December 10, 1997, Dated April 26, 1999.	
	71	New Zealand Examiner's Report for VION PHARMACEUTICALS, INC., et al., New Zealand Application No. 503376 (Atty. Dkt. #871-AB-PCT-NZ), Filed March 14, 2000, Dated November 9, 2000.	
	72	New Zealand Notice of Acceptance for VION PHARMACEUTICALS, INC., et al., New Zealand Application No. 503376 (Atty. Dkt. #871-AB-PCT-NZ), Filed March 14, 2000, Dated October 3, 2002.	

Examiner Signature	/Q. Janice Li/ (12/06/2007)	Date Considered	
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	73	Chinese Office Action for VION PHARMACEUTICALS, INC., Chinese Application No. 00816714.1 (Atty. Dkt. #873-PCT-CN), Filed August 24, 2000, Dated June 4, 2004.	
	74	Chinese Office Action for VION PHARMACEUTICALS, INC., Chinese Application No. 00816714.1 (Atty. Dkt. #873-PCT-CN), Filed August 24, 2000, Dated March 4, 2005.	
	75	Chinese Decision on Rejection for VION PHARMACEUTICALS, INC., Chinese Application No. 00816714.1 (Atty. Dkt. #873-PCT-CN), Filed August 24, 2000, Dated April 7, 2006.	

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